



## **PATENT**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re th	ne application of:	)	
	Luke Jagger et al.	) ·	Art Unit: Unassigned iner: Unassigned
Applic	ation No. 09/944,869	)	•
Filed:	August 31, 2001	) Atty. I	Oocket No. NAI1P025/01.156.01
		) Date:	September 26, 2001
For:	EFFICIENT MANAGEMENT AND	)	
	BLOCKING OF MALICIOUS CODE AND	)	
	HACKING ATTEMPTS IN A NETWORK	)	
	ENVIRONMENT	)	
		)	

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on September 26, 2001.

igned: Frica I Mann

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56 AND 1.97(b)

Commissioner for Patents Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-1351 (Order No. NAI1P025/01.156.01).

Respectfully submitted,

Silicon Walley IP Group

Kevin J. Zilka

Reg. No./41,429

P.O. Box 721120 San Jose, CA 95172-1120

Telephone: (408) 971-2573



Form 1449 (Modified)

**Information Disclosure Statement By Applicant** 

(Use Several Sheets if Necessary)

Atty. Docket No.

NAI1P025/01.156.01

Applicant: L. Jagger et al.

Filing Date: 08/31/2001 Application No.: 09/944,869

Group Art Unit: Unassigned

# **U.S. Patent Documents**

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	Α	6,088,804	07/11/2000	Hill et al.	713	201	01/12/1998
	В	5,511,163	0423/1996	Lerche et al.	398	183.04	12/19/1994
	С						
	D						
	Е						
	F						
	G						
	Н						
	I						
	J						
	K						

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	L		Į.					
	M							
	N							
	0							
	P		1					

### Other Documents

		Other Boundaries		
Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
	R			
<u> </u>	S			
	T			
Examiner		Date Considered		

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.